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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/389,942	09/03/1999	THOMAS W. MEYER		6071

7590 06/09/2004
RINES & RINES
81 NORTH STATE ST
CONCORD, NH 03301

EXAMINER

CALDWELL, ANDREW T

ART UNIT	PAPER NUMBER
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2151

DATE MAILED: 06/09/2004

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Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary

Application No.

09/389,942

Applicant(s)

MEYER ET AL.

Examiner

Andrew Caldwell

Art Unit

2151

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 April 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) _____ is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☒ Claim(s) 1-40 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

II. DETAILED ACTION

Remarks

The Applicants should note that this application has been transferred to a different examiner.

The Applicants are requested to submit all future responses on letter sized (8.5 x 11 inch) paper as opposed to legal size paper. When a response to this restriction requirement is filed, this paper application will likely be converted into an IFW application. Full sized views of letter sized paper are generally more readable since the scaled type is larger.

The Applicants are reminded that various references listed on the IDS filed on July 14, 2003 (paper no. 7) have not been considered because no copies were provided to the Office.

The response at page 13 refers to Applicants are also reminded that no copies of the references cited in the international search report have been considered.

Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-34 and 39-40, drawn to a system for supplementing a prepared MPEG audio file, classified in class 709, subclass 246.
- II. Claims 35-36, drawn to a computerized advertising system, classified in class 705, subclass 14.

Inventions I and II are related as combination and subcombination. Inventions in this relationship are distinct if it can be shown that (1) the combination as claimed does not require the particulars of the subcombination as claimed for patentability, and (2) that the subcombination has utility by itself or in other combinations (MPEP § 806.05(c)). In the instant case, the combination as claimed does not require the particulars of the subcombination as claimed because the subcombination includes the limitations of: (a) an e-commerce business solicitation program content media file and (b) the provision of business solicitation opportunities not previously provided at such apparatus. The subcombination has separate utility such as its ability to provide business solicitation opportunities not previously provided at such an apparatus while the combination can be used in an apparatus that was previously able to provide business solicitation opportunities.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Conclusion

A shortened statutory period for response to this action is set to expire **one month (not less than 30 days)** from the mail date of this letter. Failure to respond within the period for response will result in **ABANDONMENT** of the application (see 35 U.S.C. 133, M.P.E.P. 710.02, 710.02(b)).

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2 Any inquiry concerning this communication or earlier communications from the
3 examiner should be directed to Andrew Caldwell, whose telephone number is (703)
4 306-3036. The examiner can normally be reached on M-F from 9:00 a.m. to 5:30 p.m.
5 EST.
6

7 If attempts to reach the examiner by phone fail, the examiner's supervisor,
8 Glenton Burgess, can be reached at (703) 305-4792. Additionally, the fax numbers for
9 Group 2100 are as follows:
10

11 Fax Responses: (703) 872-9306
12

13 Any inquiry of a general nature or relating to the status of this application should
14 be directed to the Group receptionist at (703) 305-9600.
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21 Andrew Caldwell
22 703-306-3036
23 June 4, 2004
24
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